Total Number of Page  Fee Transmitts  Fee At  Amendment/R  After F  Affidav  Extension of T	ENC  al Form  tached  teply  final  wits/declaration(s)	Filing Date  First Named Inventor  Art Unit  Examiner Name  Attorney Docket Number  LOSURES (Check all that  Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application  Power of Attorney, Revocation  Change of Correspondence Addr  Terminal Disclaimer  Request for Refund	After to T Approf A Approf (April Approf (Approf (Appr	· · · · · · · · · · · · · · · · · · ·
Total Number of Page  Fee Transmitts  Fee At  Amendment/R  After F  Affidav  Extension of T	FORM  rrespondence after initial filing)  es in This Submission  ENC  al Form  tached  deply  final  rits/declaration(s)	First Named Inventor  Art Unit  Examiner Name  Attorney Docket Number  LOSURES (Check all that  Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application  Power of Attorney, Revocation  Change of Correspondence Addr  Terminal Disclaimer	Hector F. De  1256-00935  t apply)  After to T  Approf A  Approf A  Approf A  Approf A  Approf A  Approf A  Oth	er Allowance communication rechnology Center (TC) real Communication to Board repeals and Interferences real Communication to TC real Notice, Brief, Reply Brief) prietary Information tus Letter rer Enclosure(s) (please
Fee Transmitte Fee At Amendment/R After F Affidav Extension of T Express Abanc	ENC  al Form  tached  teply  inal  its/declaration(s)	Examiner Name  Attorney Docket Number  LOSURES (Check all that  Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application  Power of Attorney, Revocation  Change of Correspondence Addr  Terminal Disclaimer	1256-00935  t apply)  After to T  Apple of A  Apple (Apple of Apple of Appl	er Allowance communication fechnology Center (TC) peal Communication to Board Appeals and Interferences peal Communication to TC peal Notice, Brief, Reply Brief) prietary Information tus Letter er Enclosure(s) (please
Fee Transmitte Fee At Amendment/R After F Affidav Extension of T Express Abanc	ENC  al Form  tached  teply  inal  its/declaration(s)	Attorney Docket Number  LOSURES (Check all that  Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application  Power of Attorney, Revocation  Change of Correspondence Addr  Terminal Disclaimer	After to T Appropriate Appropr	echnology Center (TC)  peal Communication to Board  uppeals and Interferences  peal Communication to TC  peal Notice, Brief, Reply Brief)  prietary Information  tus Letter  er Enclosure(s) (please
Fee Transmitte Fee At Amendment/R After F Affidav Extension of T Express Aband	ENC  al Form  tached  deply  final  fits/declaration(s)	LOSURES (Check all that Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addr Terminal Disclaimer	After to T Appropriate Appropr	echnology Center (TC)  peal Communication to Board  uppeals and Interferences  peal Communication to TC  peal Notice, Brief, Reply Brief)  prietary Information  tus Letter  er Enclosure(s) (please
Fee At  Amendment/R  After F  Affidav  Extension of T  Express Abanc	al Form tached teply final dits/declaration(s)	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application  Power of Attorney, Revocation  Change of Correspondence Addr  Terminal Disclaimer	After to T Approf A Approf (April Approf (Approf (Appr	echnology Center (TC)  peal Communication to Board  uppeals and Interferences  peal Communication to TC  peal Notice, Brief, Reply Brief)  prietary Information  tus Letter  er Enclosure(s) (please
Fee At  Amendment/R  After F  Affidav  Extension of T  Express Abanc	al Form tached teply final dits/declaration(s)	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application  Power of Attorney, Revocation  Change of Correspondence Addr  Terminal Disclaimer	After to T Approf A Approf (April Approf (Approf (Appr	echnology Center (TC)  peal Communication to Board  uppeals and Interferences  peal Communication to TC  peal Notice, Brief, Reply Brief)  prietary Information  tus Letter  er Enclosure(s) (please
Fee At  Amendment/R  After F  Affidav  Extension of T  Express Abanc	tached teply final fits/declaration(s)	Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application  Power of Attorney, Revocation  Change of Correspondence Addr  Terminal Disclaimer	to T App of A App (Api (Api Pro) Stat	echnology Center (TC)  peal Communication to Board  uppeals and Interferences  peal Communication to TC  peal Notice, Brief, Reply Brief)  prietary Information  tus Letter  er Enclosure(s) (please
Certified Copy Document(s)  Response to M Incomplete Ap	Rema  Nissing Parts/ pplication	CD, Number of CD(s)	Return F	Receipt Postcard
	nse to Missing Parts 37 CFR 1.52 or 1.53	OF APPLICANT, ATTORN	EY. OR AGENT	
Firm . Th	omas M. Wozny, Reg		,	·
or Individual name	ndrus, Soeales, Starke	Sawaly, LYP		
Signature	1 Mouras	W. Vagni	7	
Date Ap	ril 8, 2004	4		
	CERTIFIC	CATE OF TRANSMISSION	N/MAILING	
	irst class mail in an envelope ad	mile transmitted to the USPTO or dressed to: Commissioner for Pa		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Hector F. DeLuca	) CERTIFICATE OF MAILING
	Margaret Clagett-Dame	) I hereby certify that this correspondence
	Sumithra Gowlugari	) is being deposited with the United States
Appl. No.:	10/758,767	<ul><li>) Postal Service with sufficient postage as</li><li>) first class mail in an envelope addressed</li></ul>
Filed:	January 16, 2004	to: Commissioner of Patents, P.O. Box
Title:	Modified Retinoid Compounds and Their Uses	1450, Alexandria, VA 22313-1450, on this 8th day of April, 2004.
Docket No.:	1256-00935	) )
Priority Dat	a:	Down a Margare April 8, 2004
Appl. No.:	60/440,683	Dorothy A. Hauser Date
Filed:	January 17, 2003	
Title:	Modified Retinoid Compounds and Their Uses	, ) )

## INFORMATION DISCLOSURE STATEMENT

Mail Stop: IDS

**Commissioner for Patents** 

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

The references listed on the enclosed PTO Form 1449 are being submitted in the above-identified patent application in accordance with 37 CFR 1.97(b)(3).

A copy of each of the listed references is enclosed in compliance with the rules.

Respectfully submitted,

ANDRUS, SCEALES, STARKE & SAWALL, LLP

Thomas M. Wozny Reg. No. 28,922

all, LLP

Andrus, Sceales, Starke & Sawall, LLP 100 East Wisconsin Avenue, Suite 1100 Milwaukee, WI 53202 (414) 271-7590



U.S. Department of Commerce Patent and Trademark Office Atty. Docket No. 1256-00935

Appln. No.: 10/758,767

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

Applicant Hector F. DeLuca et al

Filing Date

January 16, 2004

Group Art Unit

		U.S. PAT	ENT DOCUMENTS			
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF PPROPRIATI
	4,677,120	06/30/1987	Parish et al	514	549	
	4,885,311	12/05/1989	Parish et al	514	549	
	4,994,491	02/19/1991	Purcell et al	514	529	
	5,049,584	09/17/1991	Purcell et al	514	529	
	5,124,356	06/23/1992	Purcell et al	514	529	
	5,837,728	11/17/1998	Purcell	514	529	
						_
	i.					

FOREIGN PATENT DOCUMENTS								
		UMENT MBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSI Yes	LATION No

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)						

**EXAMINER** 

DATE CONSIDERED

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to client.